



stockoza

Conflict of Interests

1. Policy Compliance

1.1. Scope of the Policy

1.1.1. The terms of the Conflict of Interests apply to all parties involved in the Company's Terms and Conditions. Conflicts may arise between and among the Client, the Company, and its workforce, including its employees and representatives.

1.1.2. If any of the parties intends to submit or file any concern or issue related to the interest that conflicts with the Terms and Conditions of the Company, he/she must comply with the procedures accordingly. The Client acknowledges that the submitted or filed issue will be subject to the approval of the Company and shall be assessed if it is in connection with the Terms and Conditions.

1.2. Terms and Procedures

1.2.1. The Client must include the following information upon his/her submission of the issue or concern, which he/she can send in writing to support@stockoza.com: Full Name, Account Number, Email, and Significant details of the conflict. The Company may collect additional information from the Client as necessary and, if required to resolve the conflict.

1.2.2. If the Company identifies or discovers any conflict of interests, the Company may take the necessary actions to resolve the conflict. Regardless of the conflict that may occur, the Company may still reject or cancel any conflict of interests with reasonable grounds, especially if such conflict has the potential to spur further conflicts.

1.2.3. The Company may stop any ongoing activity in the Client's trading account upon assessment and acceptance of the conflict. If any conflict arises between or among the parties involved in the Agreement of terms and conditions, the Company may disclose such conflict and allow the Client to act.

2. Identifying Conflicts

2.1. Conflict Evaluation

2.1.1. Some occurrences may lead to a conflict of interests between or among the Clients and the Company. Such cause may occur if, without limitation:

- A) The Company makes a financial profit or prevents losses at the expense of the Client's interest
- B) The Company takes an interest in the result of an order, trade, or service that is different from the interest of the Client
- C) If the Company receives information from a third party that its services are being provided or sold to him/her by a client without the Company's authorization

Risk Warning: Use of leverage, trading CFDs on margins, and overall stock market trading entail significant risks. Before trading, you should acknowledge all the risks involved and assess your objectives, experiences, financial possibilities, and willingness to take risks. Loss of initial investment partially or completely is possible. Therefore, you should not invest any funds you cannot afford to lose.



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2.1.2. Conflict of interests will be assessed and evaluated up to seven (7) business days, while the resolution of such conflict does not have a definite resolution period and may depend on the type of conflict.

2.2. Confidentiality

2.2.1. While conflicts will be evaluated constructively by the Company, the Client acknowledges that resolving any conflict will require efforts from both parties.

2.2.2. While the Company is not obligated to advise the Client during such matters, the Client acknowledges that he/she must not publish or disclose any form of statement regarding his/her dispute or complaint before the Company has assessed such matter.

2.1.3. The Client agrees that he/she will not threaten or blackmail the Company and any of its workforces in any way, regardless of the matter that arises. Any supposed threat or blackmail to the Company will lead to legal actions, restrictions, cancellations, or termination of access or service.

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